

EPA ENFORCEMENT ACCOUNTS RECEIVABLE CONTROL NUMBER FORM FOR ADMINISTRATIVE ACTIONS

This form was originated by Wanda I. Santiago for Douglas Koopman 12/1/10  
Name of Case Attorney Date

in the ORC (RAA) at 918-1113  
Office & Mail Code Phone number

Case Docket Number CWA-01-200-0037

Site-specific Superfund (SF) Acct. Number \_\_\_\_\_

This is an original debt  This is a modification

Name and address of Person and/or Company/Municipality making the payment:

Larry Thuot, Inc.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total Dollar Amount of Receivable \$ 12,000 Due Date: 11/4/10

SEP due? Yes  No  Date Due \_\_\_\_\_

Installment Method (if applicable)

INSTALLMENTS OF:

- 1<sup>ST</sup> \$ \_\_\_\_\_ on \_\_\_\_\_
- 2<sup>nd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_
- 3<sup>rd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_
- 4<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_
- 5<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

For RHC Tracking Purposes:

Copy of Check Received by RHC \_\_\_\_\_ Notice Sent to Finance \_\_\_\_\_

**TO BE FILLED OUT BY LOCAL FINANCIAL MANAGEMENT OFFICE:**

IFMS Accounts Receivable Control Number \_\_\_\_\_

If you have any questions call: \_\_\_\_\_  
in the Financial Management Office

Phone Number



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY**

Region 1

5 Post Office Square, Suite 100 (Mail Code: OES04-03)  
Boston, Massachusetts 02109-3912

**DELIVERED BY HAND**

November 18, 2010

Wanda I. Santiago  
Regional Hearing Clerk  
U.S. EPA, Region 1  
5 Post Office Square - Suite 100  
Mail Code: ORA18-1  
Boston, MA 02109-3912

Re: In the Matter of: Larry Thuot, Inc.  
CWA -01-2010-0037

**RECEIVED**

**NOV 18 2010**

EPA ORC WS  
Office of Regional Hearing Clerk

Dear Ms. Santiago:

Enclosed please find the original and one copy of a Consent Agreement and Final Order ("CAFO") settling the above-captioned case. The CAFO has been signed by the parties and approved by the Regional Judicial Officer.

Sincerely,

A handwritten signature in cursive script that reads "Douglas Koopman".

Douglas Koopman  
Environmental Engineer

Enclosure

cc: Larry Thuot



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Region 1 5 Post Office Square, Suite 100 (Mail Code: 0ES04-03)
Boston, Massachusetts 02109-3912

RECEIVED

NOV 18 2010

EPA ORC
Office of Regional Hearing Clerk

EXPEDITED SETTLEMENT AGREEMENT

Docket Number: CWA-01-2010-0037

Larry Thuot, Incorporated ("Respondent") is a "person," within the meaning of Section 502(5) of the Clean Water Act ("Act"), 33 U.S.C. § 1362(5), and 40 C.F.R. § 122.2.

In the Matter of: Larry Thuot, Incorporated, Docket No. CWA-01-2010-0037, Cincinnati Finance Center, P.O. Box 979077, St. Louis, MO 63197-9000

Attached is an "Expedited Settlement Offer Deficiencies Form" ("Form"), which is incorporated by reference. By its signature, Complainant ("EPA") finds that Respondent is responsible for the deficiencies specified in the Form.

This Agreement settles EPA's civil penalty claims against Respondent for the Clean Water Act violation(s) specified in this Agreement. EPA does not waive its rights to take any enforcement action against Respondent for any other past, present, or future civil or criminal violation of the Act or of any other federal statute or regulation. EPA does not waive its right to issue a compliance order for any uncorrected deficiencies or violation(s) described in the Form. EPA has determined this Agreement to be appropriate.

Respondent had an unauthorized discharge of storm water in violation of Section 301(a) of the Clean Water Act, 33 U.S.C. § 1311, and/or failed to comply with its National Pollutant Discharge Elimination System ("NPDES") storm water permit issued under Section 402 of the Act, 33 U.S.C. § 1342.

This Agreement is binding on the parties signing below:

EPA finds, and Respondent admits, that Respondent is subject to Section 301(a) of the Act, 33 U.S.C. § 1311, and that EPA has jurisdiction over any "person" who "discharges pollutants" from a "point source" to "waters of the United States." Respondent neither admits nor denies the deficiencies specified in the Form.

APPROVED BY EPA:

Susan Studlien Date: 08/13/10
Susan Studlien
Director
Office of Environmental Stewardship

EPA is authorized to enter into this Consent Agreement and Final Order ("Agreement") under the authority vested in the Administrator of EPA by Section 309(g)(2)(A) of the Act, 33 U.S.C. § 1319(g)(2)(A), and by 40 C.F.R. § 22.13(b). The parties enter into this Agreement in order to settle the civil violation(s) alleged in this Agreement for a penalty of \$12,000. Respondent consents to the assessment of this penalty, and waives the right to: (1) contest the finding(s) specified in the Form; (2) a hearing pursuant to Section 309(g)(2) of the Act, 33 U.S.C. § 1319(g)(2); and (3) appeal pursuant to Section 309(g)(8), 33 U.S.C. § 1319(g)(8).

APPROVED BY RESPONDENT:

Name (print): LARRY THUOT
Title (print): PRES LARRY THUOT INC
Signature: [Signature] Date: 7/7/10

Additionally, Respondent certifies, subject to civil and criminal penalties for making a false statement to the United States Government, that any deficiencies identified in the Form have been corrected. Respondent shall submit a written report with this Agreement detailing the specific actions taken to correct the violations cited herein.

The foregoing Consent Agreement is hereby approved and incorporated by reference into this Order. The Respondent is hereby ordered to comply with the terms of the above Consent Agreement, which will become effective thirty (30) days from the date it is signed by the Presiding Officer unless a petition to set aside the Order is filed by a commenter pursuant to Section 309(g)(4)(C) of the Act, 33 U.S.C. § 1319(g)(4)(C), and 40 C.F.R. Part 22.

Within 10 days of the effective date of this Agreement, Respondent shall submit a bank, cashiers, or certified check, with case name and docket number noted, for the amount specified above, payable to the "Treasurer, United States of America," via certified mail, to: U.S. EPA, Fines and Penalties,

Jill T. Metcalf Date: Oct. 25, 2010
Jill T. Metcalf
Acting Regional Judicial Officer

**Expedited Settlement Offer Worksheet  
Deficiencies Form**

Consult instructions regarding eligibility criteria  
and procedures prior to use

version 10.3.4



1	<b>LEGAL NAME AND MAILING ADDRESS OF OPERATOR</b>	<b>Telephone Number</b>	<b>NPDES Permit Number</b>
	Larry Thuot Incorporated 7 Puratin Street Shrewsbury, MA 01545	(508) 922-1788	
2	<b>LOCATION AND ADDRESS OF SITE</b>	<b>Inspector Name:</b>	Douglas Koopman
	Sunward Menor LLC 177-191 South Street Shrewsbury, MA 01545	<b>Inspector Agency:</b>	US EPA
		<b>Entrance Interview Conducted:</b>	Yes
		<b>Exit Interview Conducted:</b>	Yes
		<b>Exit Interview given to:</b>	Larry Thuot
		<b>Exit Interview time:</b>	13:00 Date: 03/26/2010

<b>FACILITY DESCRIPTION / CONTACT NAMES</b>	
Name of Site Contact (ESO Worksheet recipient):	Larry Thuot
Name of Authorized Official (40 CFR 122.22):	
Inspection Date:	03/26/2010
Start Construction Date:	09/09/2009
Estimated Completion Construction Date:	02/28/2011
If Unpermitted, Number of Months Unpermitted:	6
Name of Receiving Water Body (Indicate whether 303(d) listed):	Perennial stream then to Hop Brook
Acres Currently Disturbed   Acres to be Disturbed in Whole Common Plan:	2.00   7.00
Has Operator Requested Rainfall Erosivity or TMDL Waiver per 44 CFR 122.26(b)(15)?	No

PERMIT COVERAGE	Findings	Citation Reference**	R C A*	No. of Deficien- cies	Dollar Amount	Total
3 Operator unpermitted for <u>6</u> months (# months unpermitted equals number of violations)	6	CWA 301		6	\$500.00 =	\$3,000
<b>SWPPP REVIEW</b>						
4 SWPPP not prepared (if no SWPPP, leave elements 5 - 30 blank)		CGP 3.1.A		1	\$5,000.00 =	\$5,000
5 SWPPP prepared but prepared after construction start (# of months = # of violations)	7	CGP 3.1.A			\$75.00 =	
6 SWPPP does not identify all potential sources of pollution to include: porta-pottys, fuel tanks, staging areas, waste containers, chemical storage areas, concrete cure, paints, solvents, etc...		CGP 3.1.B			\$250.00 =	
7 SWPPP does not identify all operators for the project site and the areas of the site over which each operator has control		CGP 3.3.A			\$500.00 =	
8 SWPPP does not have site description, as follows:						
A Nature of activity in description		CGP 3.3.B.1			\$100.00 =	
B Intended sequence of major activities		CGP 3.3.B.2			\$100.00 =	
C Total disturbed acreage		CGP 3.3.B.3			\$100.00 =	
D General location map		CGP 3.3.B.4			\$100.00 =	
E Site map		CGP 3.3.C			\$500.00 =	
F Site map does not show drainage patterns, slopes, areas of disturbance, locations of major controls, structural practices shown, stabilization practices, offsite materials, waste, borrow or equipment storage areas, surface waters, discharge points, areas of final stabilization (count each omission under 8F as 1 violation)		CGP 3.3.C.1-3			\$50.00 =	
G Location/description industrial activities, like concrete or asphalt batch plants		CGP 3.3.D			\$500.00 =	
9 SWPPP does not:						
A Describe all pollution control measures (e.g. BMPs)		CGP 3.4.A			\$750.00 =	

	B Describe sequence for implementation	CGP 3.4.A			\$250.00	=	
	C Detail operator(s) responsible for implementation	CGP 3.4.A			\$250.00	=	
10	SWPPP does not describe interim stabilization practices	CGP 3.4.B			\$250.00	=	
11	SWPPP does not describe permanent stabilization practices	CGP 3.4.B			\$250.00	=	
12	SWPPP does not describe a schedule to implement stabilization practices	CGP 3.4.B			\$250.00	=	
13	Following dates are not recorded: major grading activities; construction temporarily or permanently ceased; stabilization measures initiated (count each omission under 13 as 1 violation)	CGP 3.4.C.1-3			\$250.00	=	
14	SWPPP does not have description of structural practices to divert flows from exposed soils, retain flows, or limit runoff from exposed areas	CGP 3.4.D			\$500.00	=	
15	SWPPP does not have a description of measures that will be installed during the construction process to control pollutants in storm water discharges that will occur AFTER construction operations have been completed	CGP 3.4.E			\$500.00	=	
16	SWPPP does not describe measures to prevent discharge of solid materials to waters of the US, except as authorized by 404 permit	CGP 3.4.F			\$500.00	=	
17	SWPPP does not describe measures to minimize off-site vehicle tracking and generation of dust	CGP 3.4.G			\$500.00	=	
18	SWPPP does not include description of construction or waste materials expected to be stored on site w/updates re: controls used to reduce pollutants from these materials	CGP 3.4.H			\$250.00	=	
19	SWPPP does not have description of pollutant sources from areas other than construction (asphalt or concrete plants) w/ updates re: controls to reduce pollutants from these materials	CGP 3.4.I			\$500.00	=	
20	SWPPP does not identify allowable sources of non-storm water discharges listed in subpart 1.3.B of the CGP	CGP 3.5			\$500.00	=	
21	SWPPP does not identify/ensure implementation of pollution prevention measures for non-storm water discharges	CGP 3.5			\$500.00	=	
22	Endangered Species Act documentation is not in SWPPP	CGP 3.7			\$500.00	=	
23	Historic Properties (Reserved)						
24	Copy of permit and/or NOI not in SWPPP (count each omission under 24 as 1 violation)	CGP 3.8			\$250.00	=	
25	SWPPP is not consistent with requirements specified in applicable sediment and erosion site plans or site permits, or storm water management plans or site permits approved by State, Tribal or local officials (e.g., MS4 requirements)	CGP 3.9			\$750.00	=	
26	SWPPP has not been updated to remain consistent with changes applicable to protecting surface waters in State, Tribal or local erosion plans	CGP 3.9			\$250.00	=	
27	Copies of inspection reports have not been retained as part of the SWPPP for 3 years from date permit coverage terminates	CGP 3.10.G			\$500.00	=	
28	SWPPP has not been updated/modified to reflect change at site effecting discharge, or where inspections identify SWPPP/BMPs as ineffective, updates to SWPPP regarding modifications to BMPs not made within 7 days of such inspection (count each omission under under 28 as 1 violation)	CGP 3.11.C			\$50.00	=	
29	Copy of SWPPP not retained on site	CGP 3.12.A			\$500.00	=	
	A SWPPP not made available upon request	CGP 3.12.C			\$500.00	=	
30	SWPPP not signed/certified	CGP 3.12.D			\$500.00	=	
Subtotal SWPPP Deficiencies						\$5,000	

INSPECTIONS									
31	Inspections not performed and documented either once every 7 days, or once every 14 days and within 24 hours after storm event greater than 0.5 inches or greater (not required if: temp stabilization; runoff unlikely due to winter conditions; construction during arid periods in arid areas) (Count each failure to inspect and document as one violation).		CGP 3.10.A, 3.10.B		12		\$250.00	=	\$3,000
	No inspections conducted and documented (if True, then leave elements 32-39 blank)	TRUE					True or False		TRUE
	Number of Inspections expected if performed every 7 days:								
	Number of Inspections expected if performed bi-weekly:								
	If known, number of days of rainfall of >0.5"								
32	Inspections not conducted by qualified personnel		CGP 3.10.D				\$50.00	=	
33	All areas disturbed by construction activity or used for storage of materials and which exposed to precipitation not inspected		CGP 3.10.E.				\$50.00	=	
34	All pollution control measures not inspected to ensure proper operation		CGP 3.10.E.				\$50.00	=	
35	Discharge locations are not observed and inspected		CGP 3.10.E.				\$50.00	=	
36	For discharge locations that are not accessible, nearby locations are not inspected		CGP 3.10.E.				\$50.00	=	
37	Entrance/exit not inspected for off-site tracking		CGP 3.10.E.				\$50.00	=	
38	Site inspection report does not include: date, name and qualifications of inspector, weather information, location of sediment/pollutant discharge, BMP(s) requiring maintenance, BMP(s) that have failed, BMP(s) that are needed, corrective action required including changes/updates to SWPPP and schedule/dates (count each omission under 38 as 1 violation)		CGP 3.10.G				\$50.00	=	
39	Inspection reports not properly signed/certified (count each failure to sign/certify as 1 violation)		CGP 3.10.G				\$50.00	=	
<b>Subtotal Inspections Deficiencies</b>									<b>\$3,000</b>
AVAILABILITY OF RECORDS									
40	Sign/notice not posted		CGP 3.12.B				\$250.00	=	
	A) Does not contain copy of complete NOI		CGP 3.12.B				\$50.00	=	
	B) Location of SWPPP or contact person for scheduling viewing times where on-site location for SWPPP unavailable not noted on sign		CGP 3.12.B				\$50.00	=	
<b>Subtotal Records Deficiencies</b>									<b>\$0</b>
BEST MANAGEMENT PRACTICES									
41	No velocity dissipation devices located at discharge locations or outfall channels to ensure non-erosive flow to receiving water		CGP 3.13.F				\$500.00	=	
42	Control measures are not properly:								
	A) Selected, installed and maintained		CGP 3.13.A		1		\$500.00	=	\$500
	B) Maintenance not performed prior to next anticipated storm event		CGP 3.6.B				\$250.00	=	
	(count each failure to select, install, maintain each BMP as one violation)								
43	When sediment escapes the site, it is not removed at a frequency necessary to minimize off-site impacts		CGP 3.13.B				\$500.00	=	
44	Litter, construction debris, and construction chemicals exposed to storm water are not prevented from becoming a pollutant source (e.g. screening outfalls, pickup daily, etc.)		CGP 3.13.C				\$500.00	=	

In the Matter of: Larry Thuot, Inc.  
CWA -01-2010-0037

CERTIFICATE OF SERVICE

I hereby certify that the foregoing Consent Agreement and Final Order was sent to the following persons, in the manner specified, on the date below:

Original and one copy,  
hand-delivered to:

Wanda I. Santiago  
Regional Hearing Clerk  
U.S. EPA, Region 1  
5 Post Office Square - Suite 100  
Mail Code: ORA18-1  
Boston, MA 02109-3912

Copy by Registered Mail to:

Larry Thuot  
Larry Thuot, Inc.  
7 Puritan Street  
Shrewsbury, MA 01545

Copy by First Class Mail to:

Joseph Bellino  
Massachusetts Department of  
Environmental Protection  
Central Regional Office, Wetlands  
Division  
627 Main Street  
Worcester, MA 01608

Dated: 11/18/10

  
\_\_\_\_\_  
Douglas Koopman  
U.S. EPA, Region 1  
5 Post Office Square - Suite 100  
Mail Code: OES04-03  
Boston, MA 02109-3912  
(617) 918-1747